



Academic Development Library Management

OPEN ACCESS POLICY

MBZUAI-LIB-OPENACCESS-POL-V1.0

Effective Date: 13/04/2023

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1. Document Control Information

Policy Name	Open Access Policy
Document Name/ Reference Number	MBZUAI-LIB-OPENACCESS-POL-V1.0
Owner/ Updated – Developed by	Head of Library Management
Version Number	1.0
Approval Date	15/12/2020
Approved By	Board of Trustees
Date of Last Review	13/04/2023
Date for Next Review	13/04/2025
<i>For Office Use – Keywords for search function</i>	

2. Glossary of Terms

Term	Definition
MBZUAI	Mohamed Bin Zayed University of Artificial Intelligence
Institutional Repository (IR)	A system and service for the management, dissemination, and preservation, of scholarly materials in digital format, and made available in an openly accessible database. Materials are created and deposited by the institution and its members and copyright obligations are observed. Deposited items may include e-prints, theses, dissertations, technical reports, research data sets and teaching materials
Default Rights Retention Policy (opt-out policy)	A type of Open Access policy that grants an institution by default, certain nonexclusive rights to future research articles published by members of faculty. The policy requires deposit of scholarly output in the Institution Repository but offers a waiver or opt-out option if required. An example is the Harvard Library OSC Model Open Access Policy.

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3. Introduction

This policy signifies MBZUAI’s support for the principle of open dissemination of research and scholarship and describes the requirements and expectations for the depositing of scholarly articles in MBZUAI’s institutional repository, through a rights retention policy by default and supported by the provision of an opt-out waiver, were necessary. The policy is informed by the *Harvard Library Office for Scholarly Communication Model Open Access Policy*.

4. Objective

The objective of this policy is to describe the obligation of MBZUAI authors in providing MBZUAI non-exclusive permission to make scholarly articles available, where possible; and the process and conditions by which a waiver can be granted.

5. Scope

This policy applies to all MBZUAI’s authors, and includes faculty, postdoctoral fellows, research scientists, students, and employees.

6. Open Access Policy

- 6.1. MBZUAI is committed to driving knowledge creation in the field of artificial intelligence and encourages the open dissemination of research and scholarship where possible. To this end, members of the University’s community, including faculty, postdoctoral fellows, research scientists, students and employees, grant the University, non-exclusive permission to make available their scholarly articles and to exercise the copyright in those articles for the purpose of open dissemination.
- 6.2. Each author, as described above, grants to the University a non-exclusive, irrevocable, worldwide license to exercise all rights under copyright relating to each of their scholarly articles, in any medium, provided that the articles are not sold for a profit, and to authorize others to do the same.
- 6.3. This policy applies to all scholarly articles authored while in the employ of MBZUAI except for any scholarly articles for which the author entered into an incompatible licensing or assignment agreement.
- 6.4. The Office of the Provost will waive application of the license for a particular article, or delay access for a specified period, upon express justifiable direction by the author.
- 6.5. Each author will provide an electronic copy of the final version of each scholarly article no later than the date of its publication, at no charge, and in accordance with the guidelines provided by the MBZUAI Library and in consultation with the Office of the Executive Vice President and Provost.
- 6.6. The Office of the Provost may make any item to which this policy applies available to the public in MBZUAI’s open access, non-commercial, institutional repository.

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- 6.7. Authors continue to exercise all rights under the conditions of copyright and are encouraged to deposit in other open access repositories where such deposit is required by a funding agency, or as determined by the author.
- 6.8. The Office of the Provost will be responsible for interpreting this policy, resolving disputes concerning its interpretation and application, and recommending changes to the Academic Council as required.
- 6.9. The MBZUAI Library will develop and implement institutional repository guidelines and promote open access practices.

7. Related Documents

Section	Related Document(s)
6	Library Resources & Services Policy Institutional Repository Guidelines

8. Version History

Version number	Person responsible	Date of change	Comments
V1.0	Head of Library Management	15/12/2020	First Approved Version
V1.0	Associate Provost Administrative Affairs	13/04/23	First Approved Version is Retained

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